



# Symmetries in Nuclear and Particle Physics

- 1. Symmetries in Physics
- 2. Interacting Boson Model
- 3. Nuclear Supersymmetry
- 4. Quark Model
- 5. Unquenched Quark Model



Roelof Bijker (ICN-UNAM)  
bijker@nucleares.unam.mx

# 1. Symmetries in Physics

- Introduction
- Group theory
  - Lie groups and Lie algebras
  - Conservation laws
- Hydrogen atom
- Dynamical symmetry
- Isospin symmetry in nuclei



# Introduction

- Geometric symmetries

Buckyball with icosahedral symmetry

- Permutation symmetries

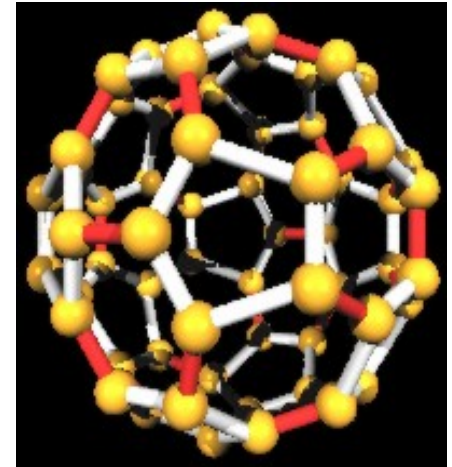
Fermi-Dirac and Bose-Einstein statistics

- Space-time symmetries

Rotational invariance in nonrelativistic QM, Lorentz invariance in relativistic QM

- Gauge symmetries

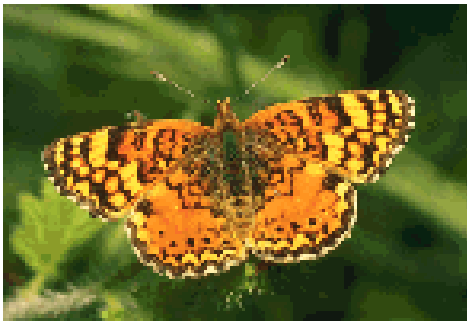
Dirac equation with external electromagnetic field





# Dynamical Symmetries

- Hydrogen atom (Pauli, 1926)
- Isospin symmetry (Heisenberg, 1932)
- Spin-isospin symmetry (Wigner, 1937)
- Pairing, seniority (Racah, 1943)
- Elliott model (Elliott, 1958)
- Flavor symmetry (Gell-Mann, Ne'eman, 1962)
- Interacting boson model (Arima, Iachello, 1974)
- Nuclear supersymmetry (Iachello, 1980)



# Reflection symmetry

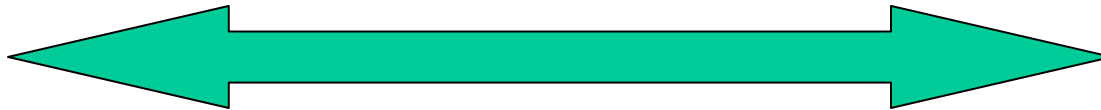


Taj Mahal, before and after reflection  
about the symmetry axis

# Translation Symmetry

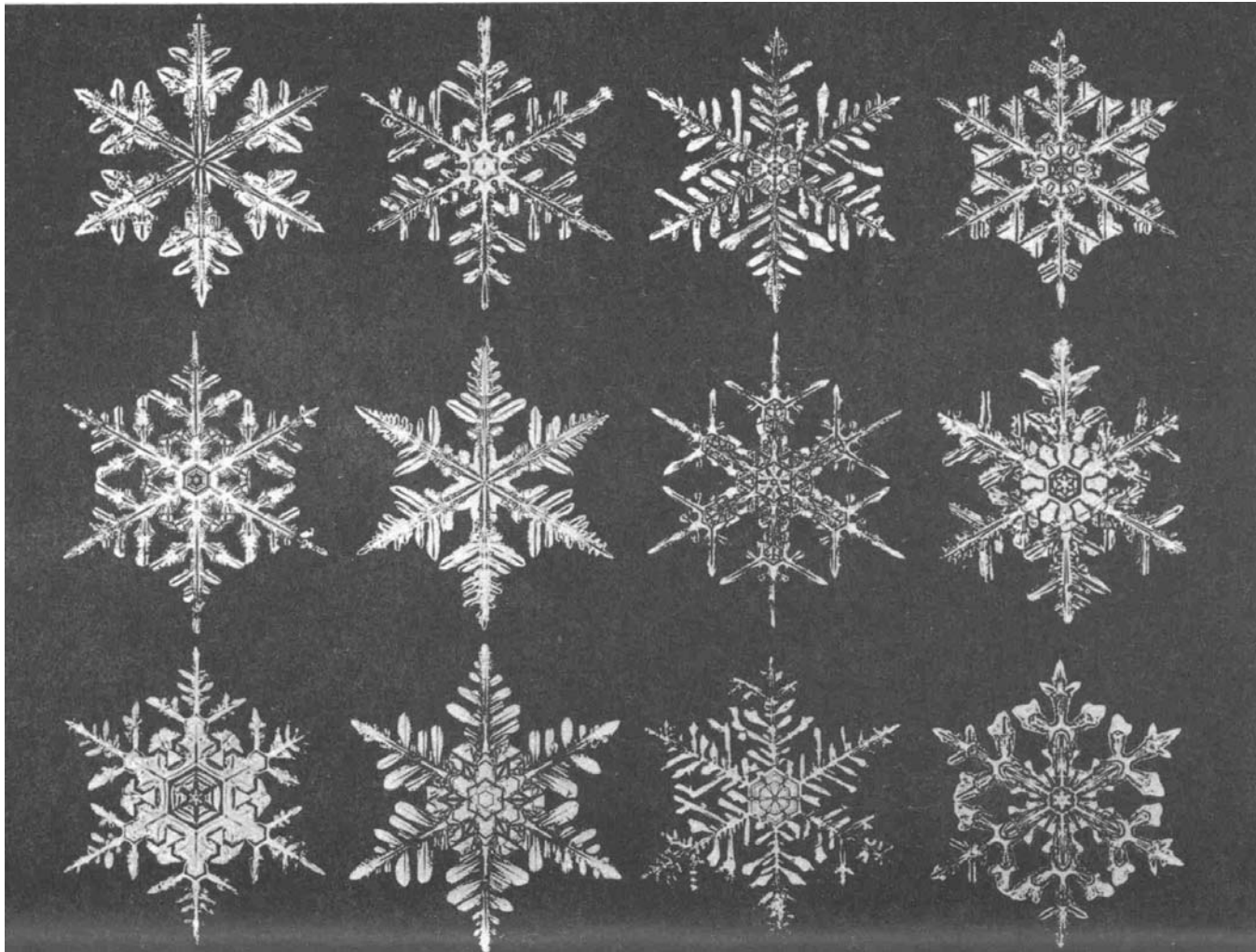


# Reflection and Translation Symmetry





# Rotation Symmetry





# Symmetries in Physics

- Greek origin: « with proportion, with order ».
- Oxford Dictionary of Current English:
  - Symmetry: « ...right correspondence of parts; quality of harmony or balance (in size, design etc.) between parts ».
- Symmetry in physics via group theory (mathematical theory of symmetry)
- Main protagonists
  - Evariste Galois (1831): Galois theory
  - Sophus Lie (1873): Lie algebra
  - Emmy Noether (1918): Noether's theorem

# Group Theory

A set of elements  $a, b, c, \dots \in G$   
and a multiplication operation  $\circ$

Closure	$a \circ b \in G$
Associativity	$(a \circ b) \circ c = a \circ (b \circ c)$
Identity	$a \circ e = e \circ a = a$
Inverse	$a \circ a^{-1} = a^{-1} \circ a = e$

Evariste Galois (1811-1832)

Bell - Men of Mathematics  
Ch 20: Genius and stupidity





# Abelian Groups

Closure	$a \circ b \in G$
Associativity	$(a \circ b) \circ c = a \circ (b \circ c)$
Identity	$a \circ e = e \circ a = a$
Inverse	$a \circ a^{-1} = a^{-1} \circ a = e$
Commutativity	$a \circ b = b \circ a$

Niels Henrik Abel (1802-1829)

Bell - Men of Mathematics  
Ch 17: Genius and poverty



# Lie Groups and Lie Algebras

- A Lie group contains an infinite number of elements that depend on a set of continuous variables

$$\vec{z}' = A(\alpha)\vec{z}, \quad A(\alpha) = A(\alpha_1, \dots, \alpha_r)$$

- The corresponding Lie algebra is obtained from infinitesimal generators

$$X_k = i \left. \frac{\partial A}{\partial \alpha_k} \right|_{\alpha=0}$$

- Commutation relations

$$[X_k, X_l] \equiv X_k X_l - X_l X_k = \sum_m c_{kl}^m X_m$$

- Jacobi identity

$$[X_k, [X_l, X_m]] + [X_l, [X_m, X_k]] + [X_m, [X_k, X_l]] = 0$$

# Orthogonal Rotations

**Example:** rotations in space are generated by the angular momentum operator

$$L_j = (\vec{r} \times \vec{p})_j = \epsilon_{jkl} r_k p_l = -i\epsilon_{jkl} r_k \frac{\partial}{\partial r_l}$$

which close under the Lie algebra of  $SO(3)$

$$[L_j, L_k] = i\epsilon_{jkl} L_l$$

Casimir invariant

$$[\vec{L}^2, L_j] = 0$$

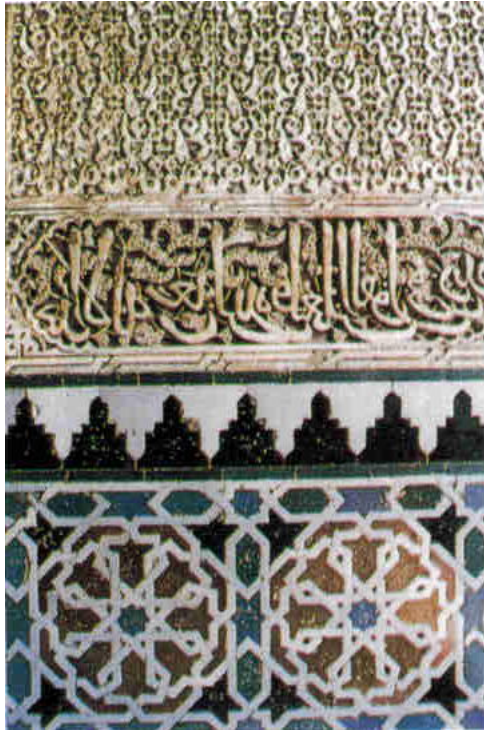


Sophus Lie (1842-1899)

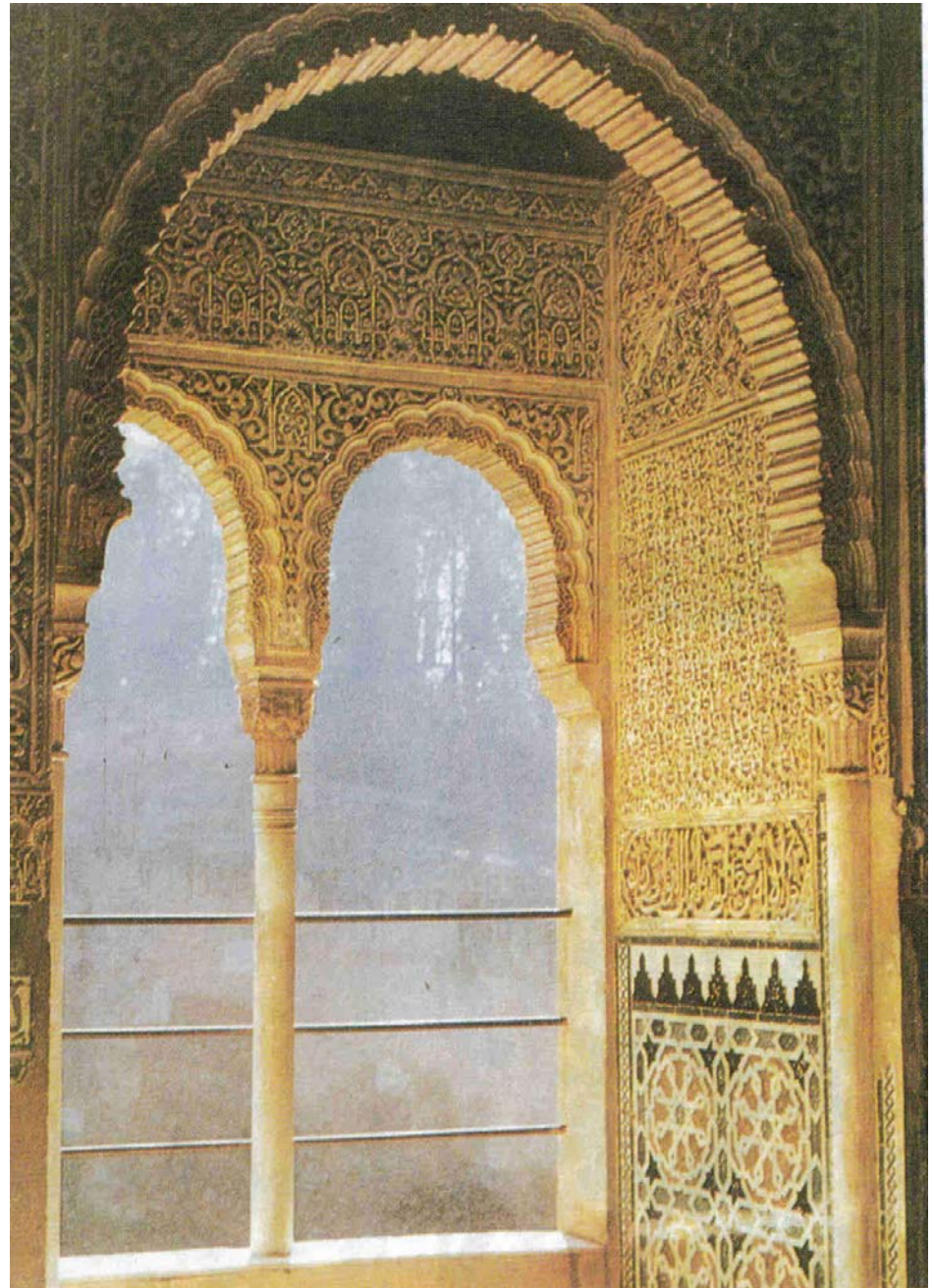
Hendrik Casimir (1909-2000)







Alhambra, Spain



# Symmetries and Conservation Laws

Emmy Noether  
(1882-1935)



## Noether's theorem

For every continuous symmetry of the laws of physics,  
there exists a conservation law

Symmetry	Conservation Law
Translation in space	Linear momentum
Translation in time	Energy
Rotation	Angular momentum

# Invariance

If the hamiltonian  $H$  is invariant under a Lie group of continuous transformations  $G$ , it commutes with the generators of the Lie algebra

$$[H, X_k] = 0 \quad \forall X_k$$

Rotational invariance  $[H, L_j] = 0$

$$[H, \vec{L}^2] = 0, \quad [\vec{L}^2, L_j] = 0$$

Eigenvalue equations

$$\begin{aligned} H \psi_{Elm} &= E \psi_{Elm} \\ \vec{L}^2 \psi_{Elm} &= l(l+1) \psi_{Elm} \\ L_z \psi_{Elm} &= m \psi_{Elm} \end{aligned}$$

# Conservation Laws

## Space-time symmetries

- Energy, momentum and angular momentum

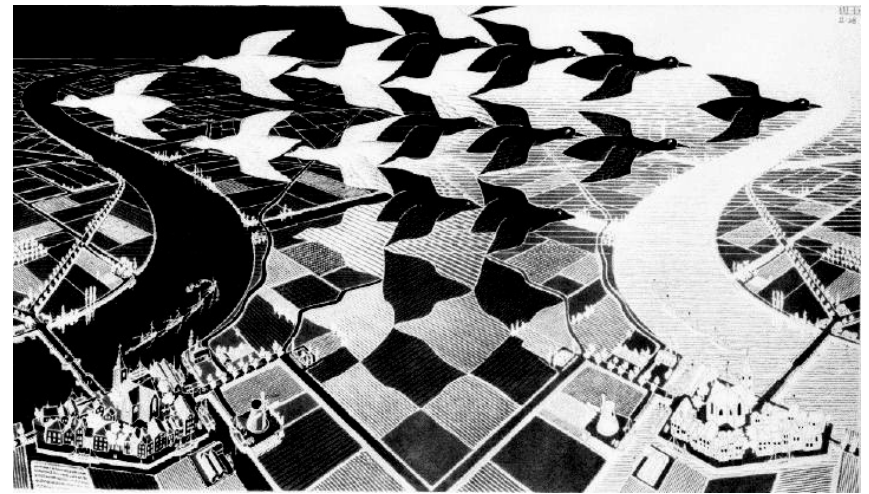
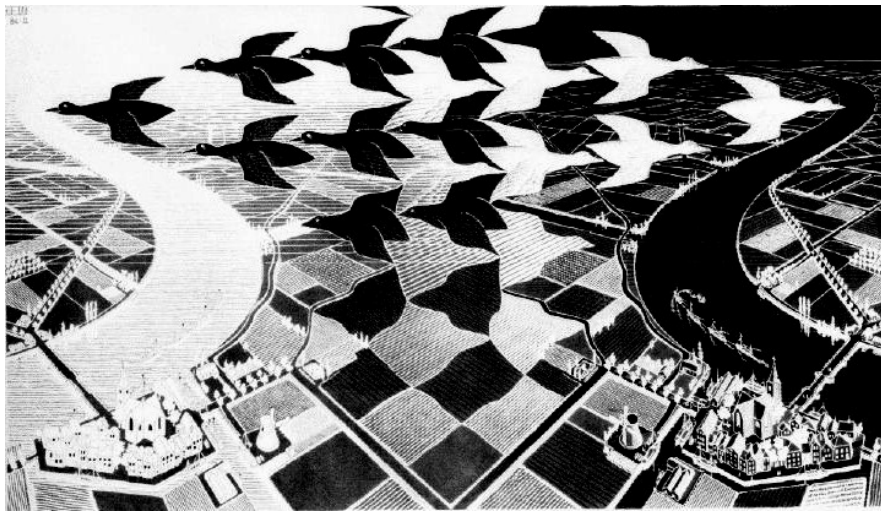
## Internal symmetries

- Electric charge, baryon and lepton number
- Isospin and strangeness

## Discrete symmetries

- Parity, charge conjugation and time reversal





Charge Inversion  
--- Particle-antiparticle  
mirror

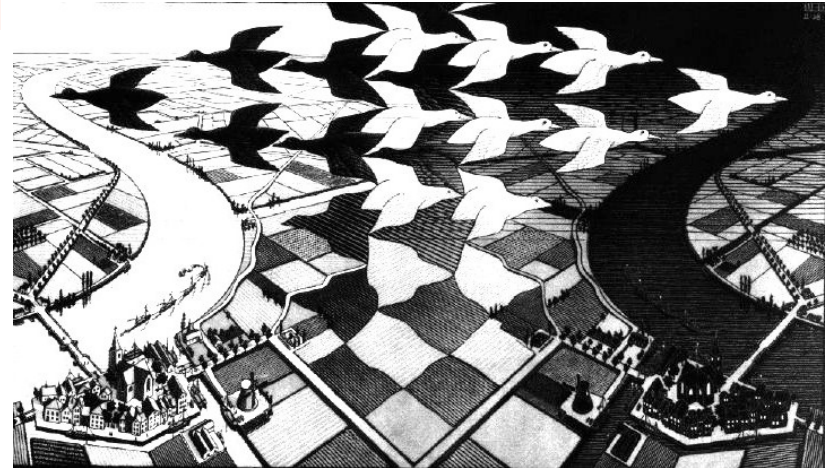
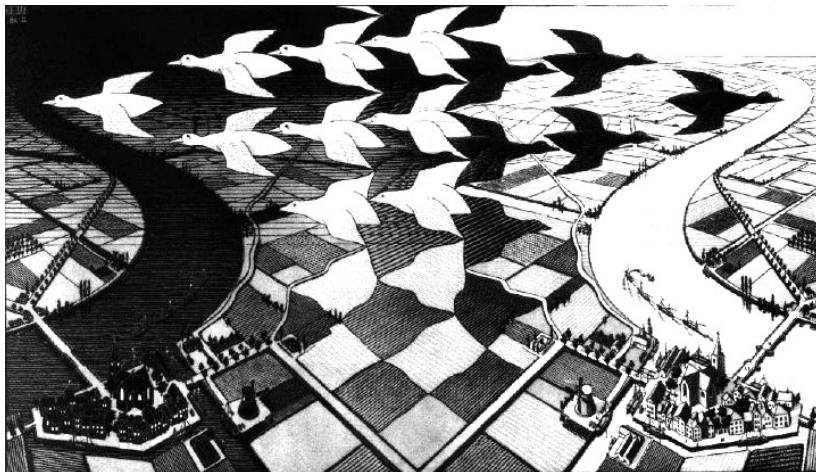
**C**



Parity  
Inversion  
Spatial  
mirror

**P**

**CP**



# Consequences of Symmetry

- Conservation laws
- Selection rules
- State labeling
- Degeneracy

$$H |\Gamma \gamma\rangle = E(\Gamma) |\Gamma \gamma\rangle$$

$$\begin{aligned} H |\Gamma \gamma\rangle &= E |\Gamma \gamma\rangle \\ \Rightarrow H X |\Gamma \gamma\rangle &= E X |\Gamma \gamma\rangle \end{aligned}$$

- Action of transformations

$$X |\Gamma \gamma\rangle = \sum_{\gamma'} a_{\gamma\gamma'}^{\Gamma}(X) |\Gamma \gamma'\rangle$$

# The Hydrogen Atom

Hamiltonian

$$H = \frac{p^2}{2m} - \frac{e^2}{r}$$

Eigenstates

$$\psi_{nlm}(r, \theta, \phi)$$

$$\begin{aligned} n &= 1, 2, \dots, \\ l &= 0, 1, \dots, n-1 \\ -l &\leq m \leq l \end{aligned}$$

Energies

$$E_{nlm} = -\frac{me^4}{2n^2}$$

Degeneracy

$$\sum_{l=0}^{n-1} (2l+1) = n^2$$

# SO(3) Symmetry

- The hamiltonian of the H-atom commutes with angular momentum operator:

$$[H, \vec{L}] = [H, \vec{r} \times \vec{p}] = 0$$

- The  $L$  operators generate an SO(3) algebra:

$$[L_j, L_k] = i\epsilon_{jkl}L_l \quad j, k, l = x, y, z$$

- SO(3) symmetry  $\Rightarrow$  degeneracy in  $m$   $2l + 1$
- What is the origin of the additional degeneracy in  $l$ ?

# Kepler Problem

In analogy with the Kepler problem in classical mechanics introduce quantum mechanical analogue of the Runge-Lenz vector

$$\vec{A} = \frac{1}{2m}(\vec{L} \times \vec{p} - \vec{p} \times \vec{L}) - \frac{e^2}{r}\vec{r}$$

satisfying

$$\begin{aligned} [H, \vec{A}] &= [H, \vec{L}] = 0 \\ \vec{L} \cdot \vec{A} &= \vec{A} \cdot \vec{L} = 0 \\ \vec{A}^2 &= \frac{2H}{m}(\vec{L}^2 + 1) + e^4 \end{aligned}$$

Pauli (1926)



# SO(4) Symmetry

For bound states ( $E < 0$ ) introduce  $\vec{B} = \sqrt{-m/2E} \vec{A}$

The angular momentum operator and the modified Runge-Lenz vector close under commutation of SO(4)

$$[L_j, L_k] = i\epsilon_{jkl}L_l$$

$$[L_j, B_k] = i\epsilon_{jkl}B_l$$

$$[B_j, B_k] = i\epsilon_{jkl}L_l$$

Introduce  $\vec{I} = \frac{1}{2}(\vec{L} + \vec{B})$  ,  $\vec{K} = \frac{1}{2}(\vec{L} - \vec{B})$

satisfying  $[I_j, I_k] = i\epsilon_{jkl}I_l$  ,  $[K_j, K_k] = i\epsilon_{jkl}K_l$   
 $[I_j, K_k] = 0$

Then

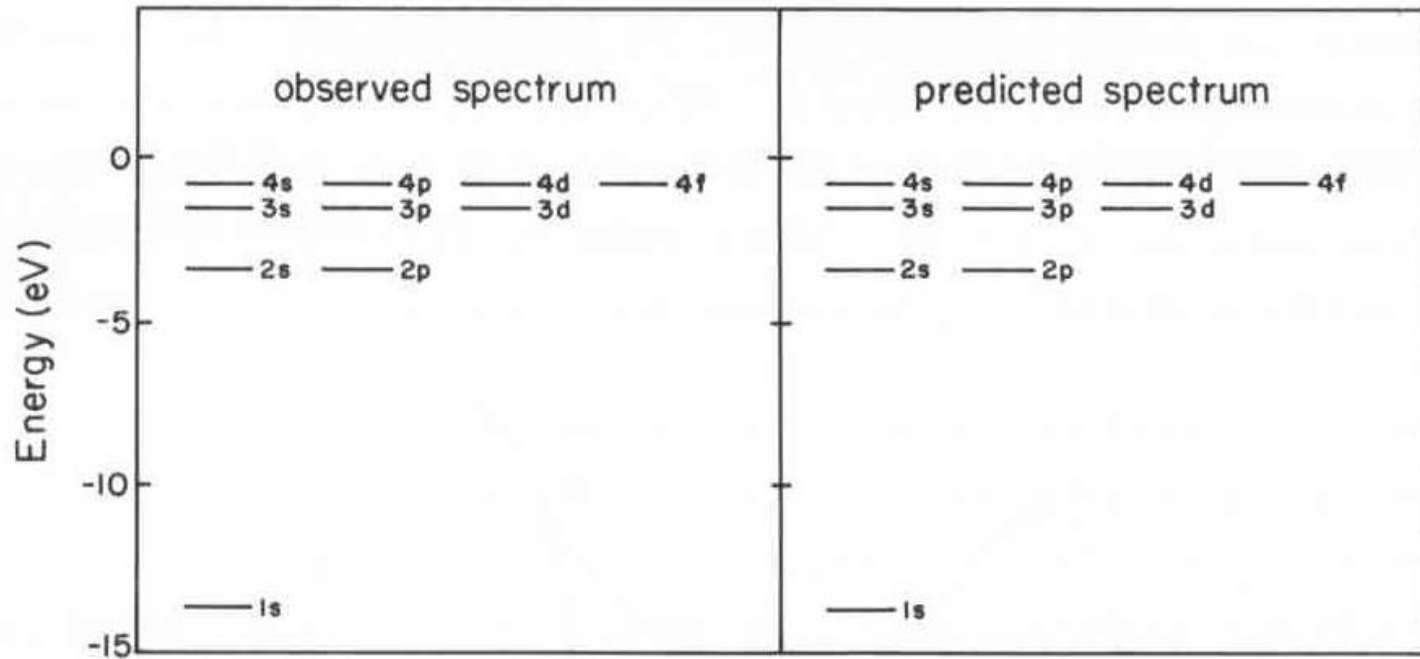
$$\begin{aligned}\vec{L} \cdot \vec{B} &= \vec{I}^2 - \vec{K}^2 = 0 \\ \frac{1}{2}(\vec{L}^2 + \vec{B}^2) &= \vec{I}^2 + \vec{K}^2 = 2\vec{K}^2\end{aligned}$$

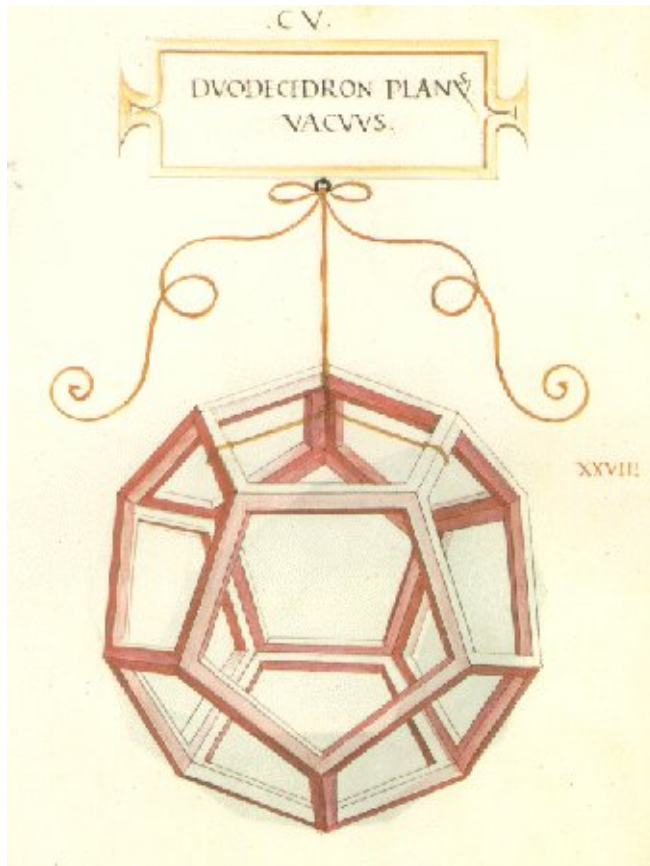
$$\begin{aligned}-\frac{1}{2} - \frac{me^4}{4E} &= 2k(k+1) \\ E &= -\frac{me^4}{2n^2} \quad \text{with } n = 2k + 1\end{aligned}$$

Angular momentum  $\vec{L} = \vec{K} + \vec{I}$

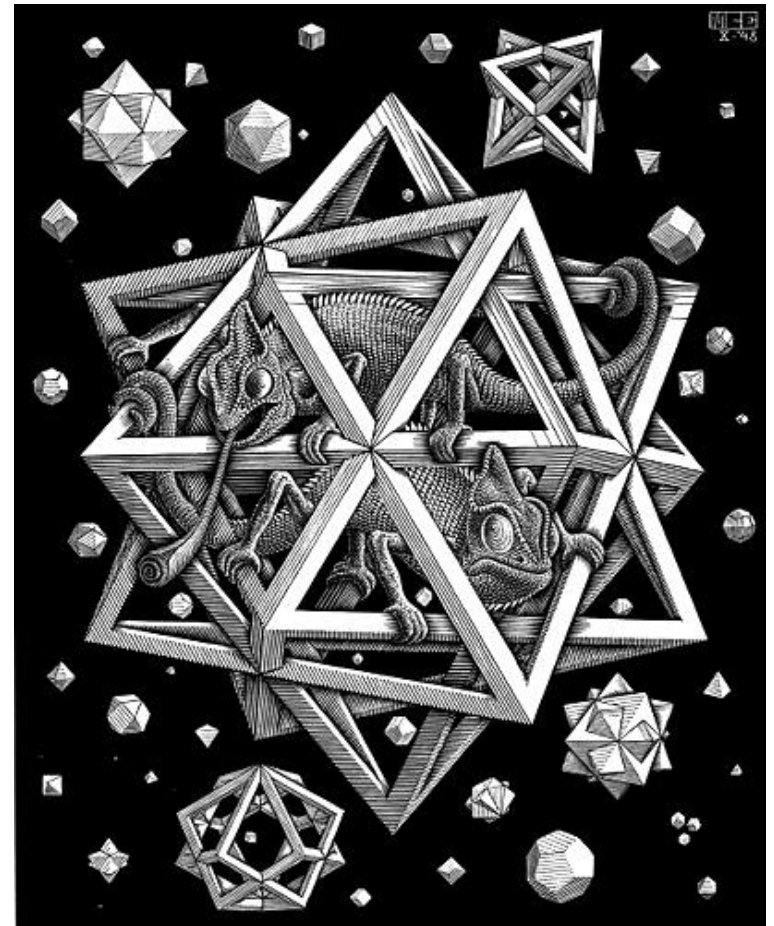
$$0 \leq \underline{l} \leq \underline{2k} = n - 1$$

# Energy Spectrum of H-Atom





Da Divina Proportione (1509)  
 Luca Pacioli (1445-1517)  
 Leonardo da Vinci (1452-1519)



Maurits Escher (1898-1972)

# Dynamical Symmetry

- Chain Algebras :  $G_1 \supset G_2$   
Generators :  $X_1 \quad X_2$   
Labels :  $|\Gamma_1, \Gamma_2, \gamma\rangle$

- Assume  $G_1$  is a symmetry of  $H_1$

$$\begin{aligned} [H_1, X_1] &= 0, \quad \forall X_1 \in G_1 \\ H_1 &= \alpha_1 \hat{C}_{G_1} \\ E_1 &= \alpha_1 C_1(\Gamma_1) \end{aligned}$$

- Assume  $G_2$  is a symmetry of  $H_2$

$$\begin{aligned} [H_2, X_2] &= 0, \quad \forall X_2 \in G_2 \subset G_1 \\ H_2 &= \alpha_2 \hat{C}_{G_2} \\ E_2 &= \alpha_2 C_2(\Gamma_2) \end{aligned}$$



# Dynamical Symmetry

- $H=H_1+H_2$  has symmetry  $G_2$  and dynamical symmetry  $G_1$

$$\begin{array}{ll} \forall X_2 \in G_2 & [H, X_2] = 0 \\ \exists X_1 \in G_1 & [H, X_1] \neq 0 \end{array}$$

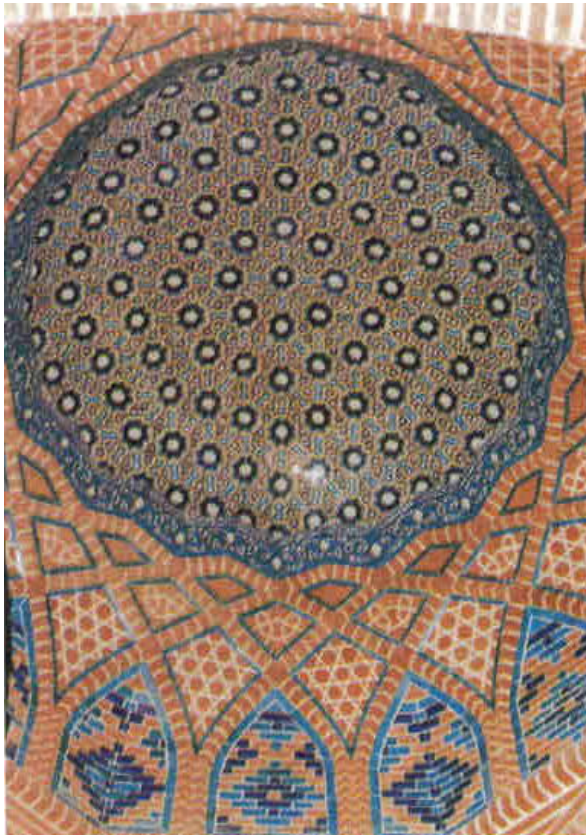
- The eigenstates of  $H$  are the same as those of  $H_1$  and are **independent** of the parameters  $\alpha_1$  and  $\alpha_2$

$$H |\Gamma_1 \Gamma_2 \gamma\rangle = E |\Gamma_1 \Gamma_2 \gamma\rangle$$

- $H$  splits but does not admix eigenstates of  $H_1$  with eigenvalues

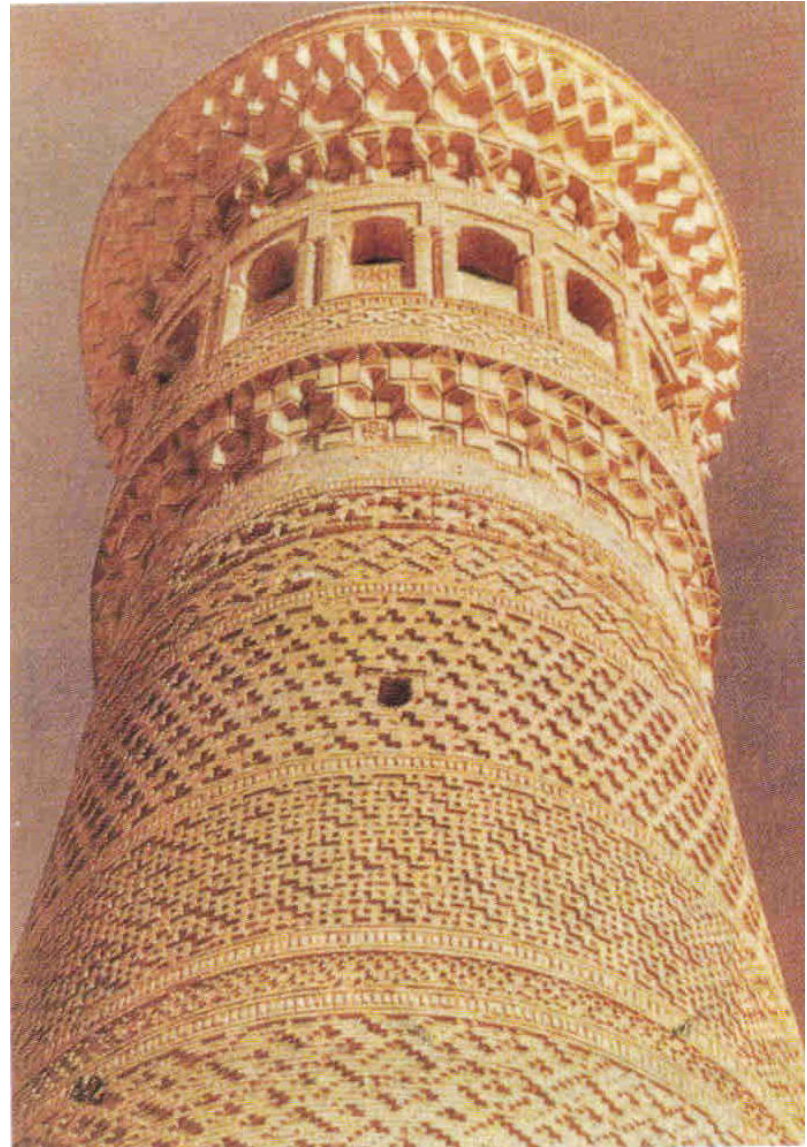
$$E = E_1 + E_2 = \alpha_1 C_1(\Gamma_1) + \alpha_2 C_2(\Gamma_2)$$

- A Dynamical Symmetry arises whenever the Hamiltonian is written in terms of the Casimir operators a chain of subalgebras



Shahjahan Mosque,  
Pakistan

Kalyan Minaret,  
Uzbekistan



# Isospin Symmetry in Nuclei

- Empirical observations:
  - Almost equal masses of n and p
  - n and p have spin  $\frac{1}{2}$
  - Equal (to  $\sim 1\%$ ) nn, np, pp strong forces.
- This suggests an isospin symmetry of the nuclear hamiltonian

Werner Heisenberg (1932)



# Isospin of the Nucleon

Nucleon is an isospin doublet with isospin  $I = \frac{1}{2}$

$$\begin{aligned} p : \quad |I, I_3\rangle &= \left| \frac{1}{2}, +\frac{1}{2} \right\rangle \\ n : \quad |I, I_3\rangle &= \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \end{aligned}$$

$$\begin{aligned} \hat{I}_3 \begin{pmatrix} p \\ n \end{pmatrix} &= \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} p \\ n \end{pmatrix} \\ \hat{I}_+ \begin{pmatrix} p \\ n \end{pmatrix} &= \begin{pmatrix} 0 \\ p \end{pmatrix} \\ \hat{I}_- \begin{pmatrix} p \\ n \end{pmatrix} &= \begin{pmatrix} n \\ 0 \end{pmatrix} \end{aligned}$$

Electric charge

$$Q = I_3 + \frac{1}{2}$$

Chain

$$\begin{aligned} \text{Algebras :} \quad & SU(2) \supset SO(2) \\ \text{Generators :} \quad & \hat{I}_\pm, \hat{I}_3 \qquad \hat{I}_3 \\ \text{Labels :} \quad & |I, I_3\rangle \end{aligned}$$

# Isospin Symmetry

- Isospin operators form an  $SU(2)$  algebra:

$$[\hat{I}_3, \hat{I}_{\pm}] = \pm \hat{I}_{\pm} , \quad [\hat{I}_+, \hat{I}_-] = 2\hat{I}_3$$

- Assume the nuclear Hamiltonian satisfies

$$[H_{\text{nuc}}, \hat{I}_{\mu}] = 0 , \quad \hat{I}_{\mu} = \sum_{k=1}^A \hat{I}_{\mu}(k)$$

- $H_{\text{nuc}}$  has  $SU(2)$  symmetry with degenerate states belonging to isobaric multiplets  $H_{\text{nuc}} |\alpha I I_3\rangle = \kappa_0(\alpha, I) |\alpha I I_3\rangle$

$$I_3 = \frac{1}{2}(Z - N) = -I, -I + 1, \dots, I$$



# Isospin Dynamical Symmetry

- Group chain  $SU(2) \supset SO(2)$
- The Coulomb interaction breaks the isospin symmetry

$$H = H_{\text{nuc}} + H_{\text{coul}} \approx H_{\text{nuc}} + \kappa_1 \hat{I}_3 + \kappa_2 \hat{I}_3^2$$
$$[H, \hat{I}_3] = 0, \quad [H, \hat{I}_{\pm}] \neq 0$$

- $H$  has  $SU(2)$  dynamical symmetry and  $SO(2)$  symmetry
- Degeneracy is lifted according to

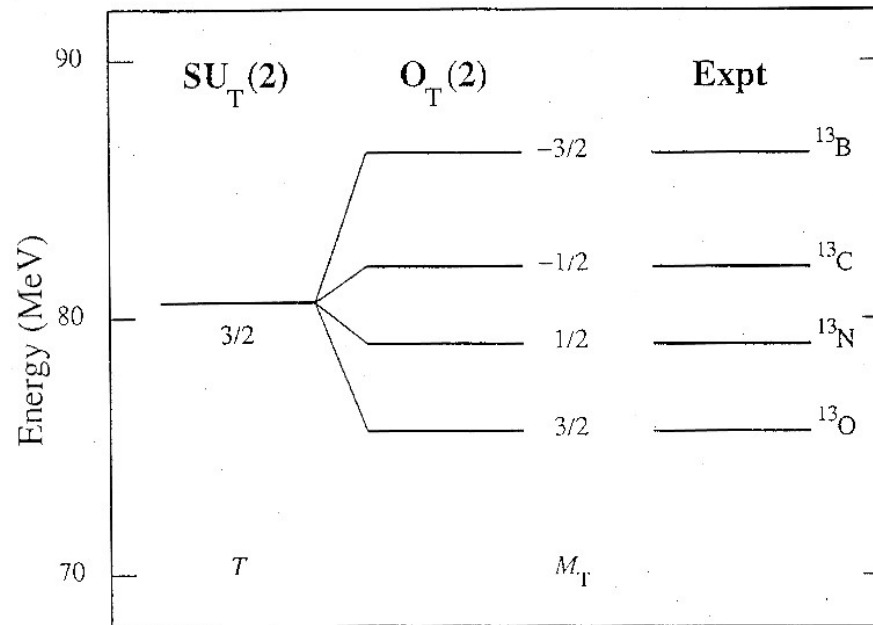
$$H |\alpha I I_3\rangle = E |\alpha I I_3\rangle$$
$$E = \kappa_0(\alpha, I) + \kappa_1 I_3 + \kappa_2 I_3^2$$

# Isobaric Multiplet

- Mass equation

$$E(\alpha I I_3) = \kappa_0(\alpha, I) + \kappa_1 I_3 + \kappa_2 I_3^2$$

- Example:  $I=3/2$  multiplet for  $A=13$  nuclei



**$Z=5, N=8$**

**$Z=6, N=7$**

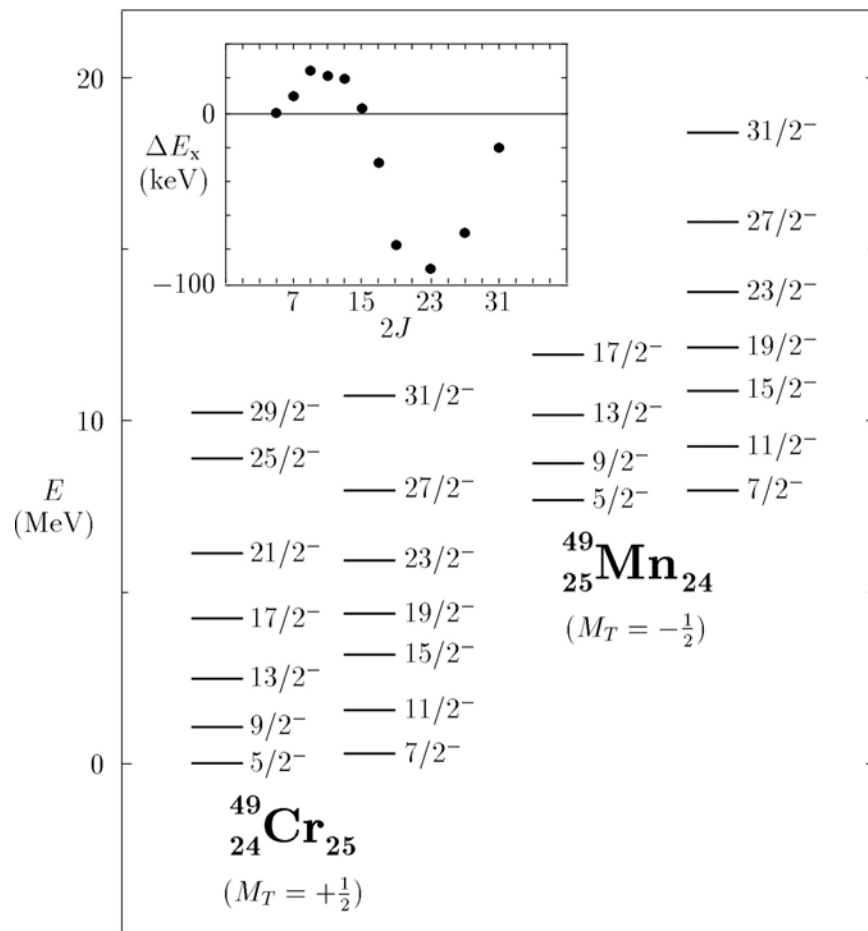
**$Z=7, N=6$**

**$Z=8, N=5$**

E.P. Wigner, Proc. Welch Found. Conf. (1958) 88

# Isospin Symmetry Breaking

- Coulomb displacement energies
- Differences in the relative energies of isobaric analogue states
- Example:  $I=1/2$  doublet of  $A=49$  nuclei.



O'Leary *et al.*, Phys. Rev. Lett. **79** (1997) 4349

# Isospin Selection Rules

- SU(2) symmetry implies degenerate isobaric multiplet states  $|\alpha I I_3\rangle$  ,  $-I \leq I_3 \leq I$
- Internal E1 transition operator is isovector

$$T_{\mu}^{(E1)} = \sum_{k=1}^A e_k r_{\mu}(k) = \underbrace{\frac{e}{2} \sum_{k=1}^A r_{\mu}(k)}_{\text{cm motion}} + \underbrace{e \sum_{k=1}^A i_3(k) r_{\mu}(k)}_{\text{isovector}}$$

- Selection rule for N=Z ( $I_3=0$ ) nuclei:

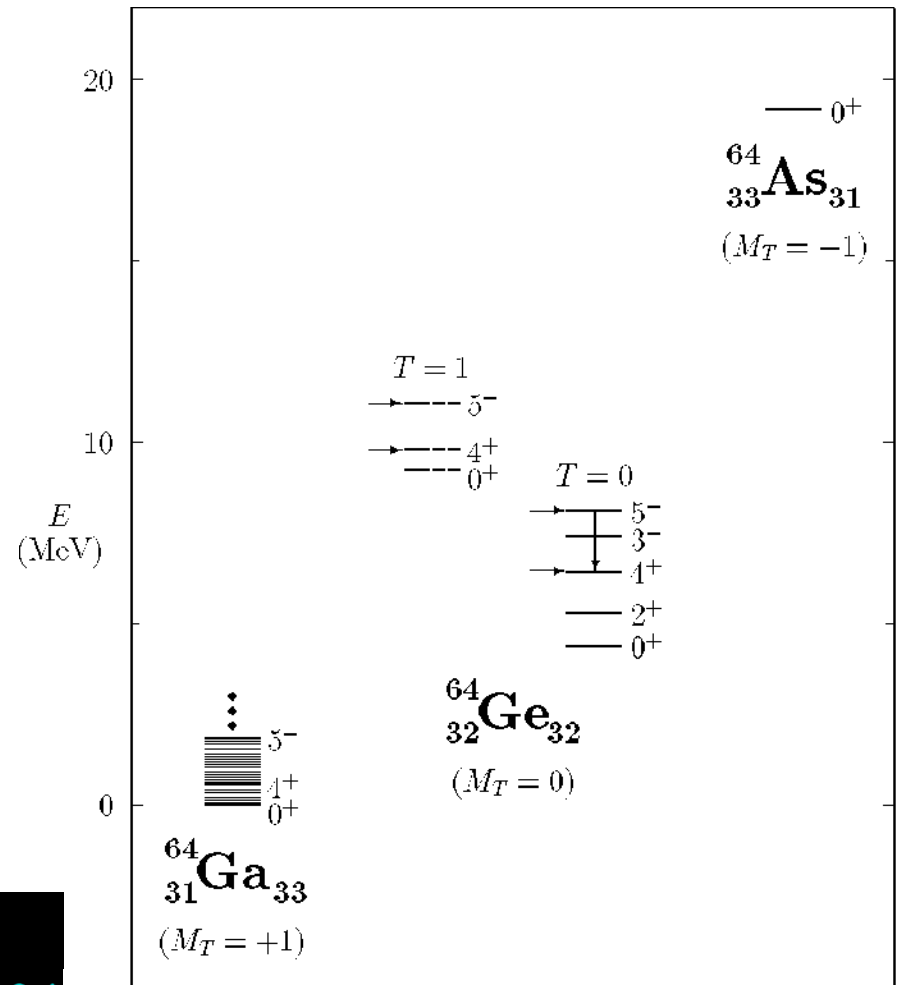
*E1 transitions are forbidden between states with the same isospin*

L.E.H. Trainor, Phys. Rev. **85** (1952) 962  
L.A. Radicati, Phys. Rev. **87** (1952) 521

# E1 Transitions and Isospin Mixing

- $B(E1; 5^- \rightarrow 4^+)$  in  $^{64}\text{Ge}$  from:
  - Lifetime of  $5^-$  level.
  - $\delta(E1/M2)$  mixing ratio of  $5^- \rightarrow 4^+$  transition.
  - Relative intensities of transitions de-exciting  $5^-$ .
- Estimate of isospin mixing:

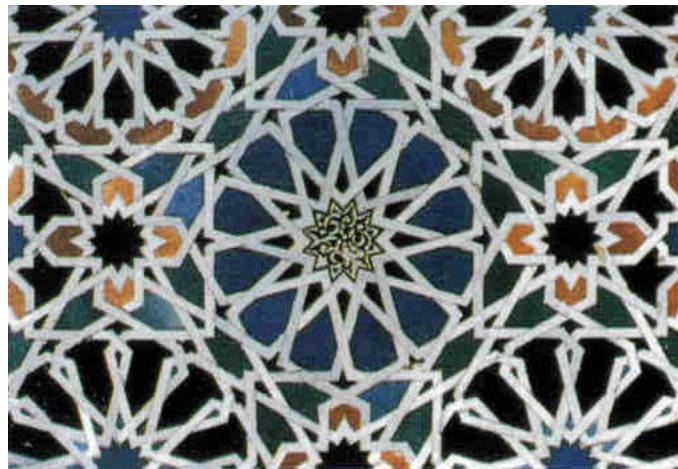
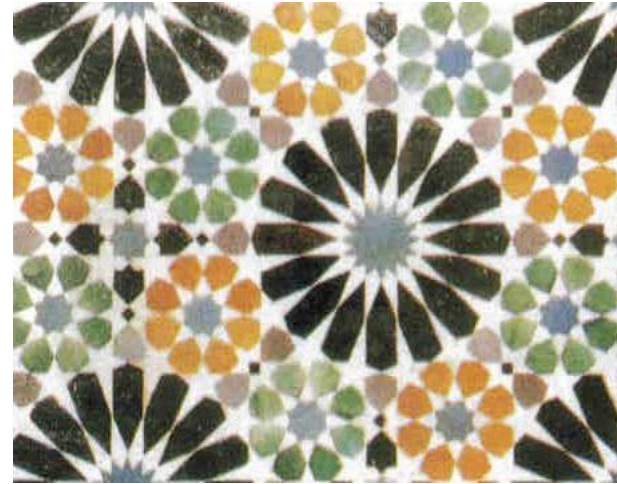
$$P(J^P = 5^-, I = 1) \approx \frac{P(J^P = 4^+, I = 1)}{2.5} \approx 2.5 \%$$



E.Farnea *et al.*, Phys. Lett. B **551** (2003) 56



# Alhambra, Spain



# Selected References

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- Wigner - Group theory and its applications to the quantum mechanics of atomic spectra (1931)
- Hamermesh - Group theory and its application to physical problems (1962)
- Wybourne - Classical groups for physicists (1974)
- Elliott and Dawber - Symmetry in physics (1979)
- Van Isacker - Rep. Prog. Phys. 62, 1661-1717 (1999)
- Iachello - Lie algebras and applications (2006)

# General Interest

- Weyl - Symmetry (1952)
- Hargittai and Hargittai - Symmetry, a unifying concept (1994)
- Abas and Salman - Symmetries of islamic geometric patterns (1995)
- Lederman and Hill - Symmetry and the beautiful universe (2004)